

January 13, 2023

BSE Limited
Department of Corporate Services
Floor 25, P. J. Towers,
Dalal Street,
Mumbai-400 001.

National Stock Exchange of India Limited
Listing Department
Registered Office: "Exchange Plaza",
C-1, Block G, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051.

Scrip Code: 532051

Scrip Code: SWELECTES

Dear Sir / Madam,

Sub: Compliance Certificate under Regulations 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

We enclose herewith the Compliance Certificate pertaining to Structured Digital Database (SDD) maintained by the Company pursuant to Regulations 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), for the quarter ended 31.12.2022.

We request you to kindly take on record the above compliance.

Thanking you,

Yours faithfully,

For SWELECT ENERGY SYSTEMS LIMITED

R. SATHISHKUMAR
Company Secretary
Encl.: as above

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015)

I, R. Sathishkumar, Compliance Officer of SWELECT Energy Systems Limited and I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

For SWELECT Energy Systems Limited

R.Sathishkumar
Compliance Officer

Date: 13.01.2023
Place: Chennai